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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER PCAMJ-FSM-PCT

U.S. APPLICATION, NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. PCT/US2003/024451 05 August 2003 (05.08.03) 05 August 2002 (05.08.02) TITLE OF INVENTION System of Finite State Machines APPLICANT(S) FOR DO/EO/US CAMPBELL, John and STILES. Gardiner S Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. x is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary-depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22333-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

International Search Report

Other items or information:

Page 1 of 2

TT01 Rec'd FCT/PTC 0 4 FEB 2005

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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The following fees have been submitted  The fees for fees for submitted  The fees for submitted or submitted on the fees submitted of the Office fees fees feeling Authority fees have been paid on the international application to the USPTO as an international Searching Authority fees feeling Authority feeling Authority fees feeling Authority fe	U.S. APPLICATI	ON NO. (if know	2eg 37 (FT 1.5)	5) INTERNATIONAL A	INTERNATIONAL APPLICATION NO. PCT/US2003/024451		ATTORNEY'S DOCKET NUMBER PCAMJ-FSM-PCT		
21. Easination fee 22. Examination fee 300.00  300.00		10 72	7707	PCT/US2003/02L					
22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of Statistics (St(1)-40)  23. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of Statistics (St(1)-40)  23. Examination fee If International Search fee Search fee Search fee Search fee (ST CFR 1.445(6)(2)) has been paid on the international application to the USPTO as an Station International Search Report prepared and provided to the Office Station International Search Report prepared and provided to the Office Station International Search Report prepared and provided to the Office Station International Search Report prepared and provided to the Office Station International Search Report prepared and provided to the Office Station International Search Report prepared and provided to the Office Station International Search Report program listing field in an electronic medium). There is \$250 for each additional 50 sheets of paper or fraction thereof.  Total Sheets Extra Sheets    Number of each additional 50 of paper or fraction thereof.   RATE    -100 =   1/50 =   1/50 =   1/50   1/50   1/50   1/50   1/50    -100 =   1/50 =   1/50	The following fees have been submitted						S PTO USE ONLY		
# International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Articles (\$311-4)	21. 🔀 Basic	national fee			\$300	\$ 300.	00		
Search Re (37 CFR 1.445(3)(2)) has been paid on the international application to the USPTO as an International Search Report prepared and provided to the Office	If International pr PCT Article 33	eliminary examin (1)-(4)	\$ 200.	00					
Additional fee for specification and drawings filed in a paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium) sequence listing or computer program listing filed in an electronic medium) sequence listing or computer program listing filed in an electronic medium)   X 5260	Search fee (37 CF Internation International Sear	FR 1.445(a)(2)) hal Searching Autoch Report prepar	\$ 100.	00					
Additional fee for specification and drawings filed in a paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium) sequence listing or computer program listing filed in an electronic medium) sequence listing or computer program listing filed in an electronic medium)   X 5260		TOTAL OF 21, 22	2 and 23 =	··· - · · · · · · · · · · · · · · · · ·		\$ 600.	00		
thereof (round up to a whole number)  -100 =   750	Additional fee sequence list	for specification ting or computer							
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest  CLAIMS NUMBER FILED NUMBER EXTRA RATE  Total claims 5 - 20 = 0	Total Sheets				RATE				
claimed priority date (37 CFR 1.492(e)).  CLAIMS NUMBER FILED NUMBER EXTRA RATE \$  Total claims 5 .20 = 0	- 100 =	/50 =			x \$250	\$			
Total claims			\$						
Independent claims   2	CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)  TOTAL OF ABOVE CACULATIONS = \$ 600.00  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. 300.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$ 300.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	Total claims	5	- 20 =	0	× \$ 50	\$ 0.	00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)  TOTAL OF ABOVE CACULATIONS = \$ 600,000    300,000     30	Independent claim	ns 2	- 3 =	0	× \$200	\$ 0.	00		
Substotal   Subs	MULTIPLE DEPE	NDENT CLAIM(S	7						
SUBTOTAL = \$ 300.00  Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).  TOTAL NATIONAL FEE = \$ 300.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = \$ 300.00  Amount to be refunded:  TOTAL FEES ENCLOSED = \$ 300.00  Amount to be charged:  **A check in the amount of \$ 300.00	TOTAL OF ABOVE CACULATIONS =					\$ 600.	00		
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TOTAL NATIONAL FEE = \$ 300.00  Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property	SUBTOTAL =					\$ 300.	00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property						\$			
to cover the above fees is enclosed.    A check in the amount of \$ 300.00   to cover the above fees is enclosed.	TOTAL NATIONAL FEE =					\$ 300.00			
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a. X A check in the amount of \$ 300.00 to cover the above fees is enclosed.  b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit to Account No. A duplicate copy of this sheet is enclosed.  d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  SEND ALL CORRESPONDENCE TO: Thompson E. Fehr Fehr Law Firm Suite 300 Goldenwest Corporate Center SNAME 5025 Adams Avenue Orden Litab Stitus S						Amount to be			
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Fehr Law Firm  Suite 300  Goldenwest Corporate Center  5025 Adams Avenue  Orden Utah 84403 USA  SIGNATURE  Thompson E. Fehr  NAME  31353	SEND ALL CORR	RESPONDENCE				200			
Suite 300  Goldenwest Corporate Center  5025 Adams Avenue  Orden IItah 88403 USA  31353	_				SIGNATURE	m. C. Time	<u></u>		
Goldenwest Corporate Center  5025 Adams Avenue  Orden Utah 88403 USA  31353	Suite 300	)			Thompson	E. Fehr			
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# 10/523485

DT01 Rec'd PCT/PTC 0 4 FEB 2005

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

Filed:

International Application No.:

PCT/US03/24451

International Filing Date:

05 August 2003 (05.08.03)

Priority Date Claimed:

05 August 2002 (05.08.2002)

Title of Invention:

System of Finite State Machines

Applicant: C

CAMPBELL, John and STILES, Gardiner S.

Group Art Unit:

Examiner:

31198

Customer No.:

Attorney Docket No.: PCAMJ-FSM-PCT

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **EXPRESS MAIL CERTIFICATE**

Express Mail Label No. ER533372476US

Date of Deposit:

February 4, 2005

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Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) concerning a Filing under 35 U.S.C. 371

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February 4, 2005

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Check No. 1497, dated 02/03/2005, in the amount of \$300.00 to pay the Basic National Fee, the Examination Fee, and the Search Fee for the patent application identified above

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Γhompsön Ε. Fehr

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Date of Deposit:

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I hereby certify that the following attached

Declaration for Utility or Design Patent Application (37 CFR 1.63)

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Mail Stop PCT **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

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Date of Deposit:

February 4, 2005

I hereby certify that the following attached

Power of Attorney and Correspondence Address Indication Form for John Campbell

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Group Art Unit:

Examiner:

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I hereby certify that the following attached

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#### International Search Report

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Chompson F. Febr

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Information Disclosure Statement by Applicant

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**EXPRESS MAIL CERTIFICATE** 

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February 4, 2005

I hereby certify that the following attached

Concise Explanation of Relevance (37 CFR § 1.98)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Mail Stop Patent PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**PATENT** 

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Group Art Unit:

Examiner:

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Attorney Docket No.: PCAMJ-FSM-PCT

**Mail Stop PCT Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

# CONCISE EXPLANATION OF RELEVANCE (37 CFR § 1.98)

As indicated in the International Search Report, United States patent nos.6,356,863; 5,847,578; and 5,550,760 all, with respect to claims 1 through 4, simply define the general state of the art.

DATED this 4<sup>th</sup> day of February, 2005.

Thompson E. Fehr

Registration No. 31353

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.:

Filed:

International Application No.:

PCT/US03/24451

International Filing Date:

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Statement Claiming Small Entity Status (37 CFR 1.27(a))

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